

Government of India

Ministry of Housing &amp; Urban Affairs

**(HFA-V Division)**

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Room No.3, Technical Cell, Gate No.7,  
Nirman Bhawan, New Delhi-110011

Dated: 26.05.2022

6-Sep-22

To

The Pay & Accounts Officer (Sectt.),  
Ministry of Housing & Urban Affairs,  
Nirman Bhawan, New Delhi-110011**Sub.: Release of Rs. 7.25 lakh to State Govt. of Uttar Pradesh as 1<sup>st</sup> instalment of Central Assistance for conducting Social Audit for FYs 2017-18, 2018-19 and 2019-20 under PMAY-U Mission – reg.**

Sir,

I am directed to convey the sanction of Competent Authority to release an amount of **Rs. 7,25,000/- (Rupees Seven Lakh and Twenty Five Thousand only)** to State Govt. of Uttar Pradesh as 1<sup>st</sup> instalment of Central Assistance for conducting Social Audit for FYs 2017-18, 2018-19 and 2019-20 under Pradhan Mantri Awas Yojana – Urban (PMAY-U) Mission. The details of release are as under:

(Rs. in lakh)							
Name of the State	No. of projects selected for Social Audit	No. of DUs covered for Social Audit	No. of cities	Name of IFA	Proposed Budget	Permissible Budget as per norms	Admissible 1 <sup>st</sup> instalment of Central Assistance (50%)
Uttar Pradesh	5	2,640 (FY-2017-18)	5	RCUES	3.50	3.50	1.75
Uttar Pradesh	9	4,11,776 (FY-2018-19)	9	RCUES	5.50	5.50	2.75
Uttar Pradesh	9	11,06,906 (FY-2019-20)	9	RCUES	5.50	5.50	2.75
<b>Total</b>	<b>23</b>	<b>15,21,322</b>	<b>23</b>	--	<b>14.50</b>	<b>14.50</b>	<b>7.25</b>

2. State Govt. of Uttar Pradesh has submitted documents mandated for release of 1<sup>st</sup> instalment of Central Assistance for Social Audit i.e. copy of EoI Notification used for selection of IFA and city-wise list of projects along with the number of dwelling units selected wherein Social Audit will be conducted.

3. The above grant is subject to the following conditions:
- i. PMAY-U being a Centrally Sponsored Scheme (CSS), the State Govt. should strictly follow the revised procedure of fund flow as per O.M. No. 1(13) PFMS/FCD/2020 dated 23<sup>rd</sup> March 2021 issued by Department of Expenditure, Ministry of Finance, Government of India and subsequent instructions issued in this regard. These instructions have been made effective from 1<sup>st</sup> July 2021 which inter-alia provides that
    - a. The State Govt. will transfer the Central Assistance to the designated Single Nodal Agency (SNA) within a period of 21 days of its receipt. Corresponding State share, if any should be released as early as possible and not later than 40 days of release of the Central share. The Central share shall not be diverted to the Personal Deposit (PD) account or any other account by the State Govt. State Govt./SNA/ Implementing Agencies (IAs) shall not transfer scheme-related funds to any other bank account, except for actual payments under the scheme.
    - b. Central Assistance along with State share, if any are to be kept by the Nodal Agency in the Single Nodal Account (SNA) opened in a Scheduled Commercial Bank for the purpose and the same is mapped in PFMS. The nodal agency will allocate drawing limits to IAs having zero balance subsidiary accounts duly mapped in PFMS and linked to SNA account for drawal of funds on real time basis from the Single Nodal Account.
    - c. The nodal agency shall ensure that the interest earned from the funds released, should be mandatorily remitted to respective consolidated funds on pro-rata basis in terms of rule 230(8) of GFR 2017.
    - d. SNAs and IAs will mandatorily use the DBT/EAT module of PFMS or integrate their systems with the PFMS to ensure that information on PFMS is updated by each IA at least once every day.
    - e. SNA will keep all the funds received in the Single Nodal Account only and shall not divert the same to Fixed Deposits/Flexi-Account/Multi-Option Deposit Account/Corporate Liquid Term Deposit (CLTD) Account etc.
    - f. Further releases under the scheme will be made only after transfer of entire Central Assistance released till date along with corresponding State share, if any in the SNA account.
  - ii. The State will conduct Social Audit as per the Capacity Building Guidelines issued by the Ministry.
  - iii. 5-10% of the approved projects are to be selected for Social Audit with minimum size of at least 100 Dwelling Units (DU) grounded with beneficiaries identified.
  - iv. Remaining 50% of Central Assistance would be released subject to submission of City-wise list of Social Audit Committee members, copy of sample tools used for Social Audit & State Level Social Audit report, duly signed by the State Mission Director/ competent authority.





- v. Further, remaining 50% of Central Assistance would be released as per actual and on receipt of Utilization Certificate along with Undertaking (in prescribed format mentioned in Capacity Building Activities - Norms, Fund Disbursal Processes and Plan Templates) from the State.
- vi. The State will comply with the terms and conditions stipulated in the guidelines, CSMC directions and instructions issued by this Ministry.
- vii. The State will utilize the sanctioned grant for the purpose for which it is released.
- viii. The State Governments/ Nodal Agency shall strictly monitor the progress of the preparatory work.
- ix. The amount of Central Assistance approved will form a part of capacity building plan of the State under PMAY - U.
- x. Where there is an element of cash transfer, if any, the same may be made through PFMS/ DBT mode as applicable.

4. The amount involved is debit to the following Head of Account under Demand No. 60 of the Ministry of Housing and Urban Affairs for the year 2022-23:

Major Head	3601	Grants-in-aid to State Governments
Sub-Major Head	06	Centrally Sponsored Schemes
Minor Head	101	Central Assistance/Share
Sub Head	31	Pradhan Mantri Awas Yojana (Urban)
Detailed Head	01	Assistance to State Govts. For PMAY(U)
Object Head	31.01.31	Grants-in-Aid General

5. The amount will be credited to the State Government's Accounts at Reserve Bank of India as per procedure laid down by Ministry of Finance, Department of Expenditure in this regard.

6. This being the first instalment of Central Assistance, no UC is required/ due for above release.

7. As per rule 236(1) of GFR, 2017, the relevant accounts of the Grantee Institution(s)/ Organisation(s) shall be open to inspection by the sanctioning authority and audit, both by the Comptroller and Auditor General of India under the provision of CAG (DPC) Act 1971 and internal audit by the Principal Accounts Office of the Ministry or Department, whenever the Institution or Organisation is called upon to do so.

8. This issues with the concurrence of the Integrated Finance Division vide **Notes # 102-105** of even number dated **20.05.2022**.

9. The sanction has been registered at **S. No. 84** of the Grants-in-Aid Register of the HFA Division for the year 2022-23.

Yours faithfully,



(B.K. Mandal)

Under Secretary to the Government of India

Tel.: 011-23063285

**Copy to:**

1. Principal Secretary, Urban Development Department, Govt. of Uttar Pradesh, UP Secretariat, Lucknow-226001
2. Accountant General (A&E), Uttar Pradesh
3. CGM, RBI, CAS, Nagpur
4. Niti Aayog, SP Divn./FR Divn., New Delhi
5. Dir. (HFA-I & III), MoHUA
6. Dir. (IFD), MoHUA
7. DS (Budget), MoHUA
8. Section Officer (Admn-II), MoHUA, Nirman Bhawan, New Delhi
9. PMU(MIS), HFA Directorate
10. Dy. Chief (MIS), HFA Directorate may place this sanction at appropriate place on the Website of the Ministry
11. Sanction folder



(B.K. Mandal)

Under Secretary to the Government of India